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PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

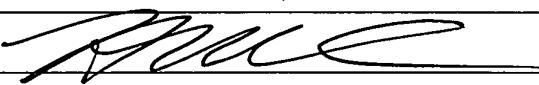
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		Application Number	10/776681-Conf. #3827
		Filing Date	February 11, 2004
		First Named Inventor	Rudolf FAUST
		Art Unit	1713
		Examiner Name	Choi, Ling Siu
Total Number of Pages in This Submission		Attorney Docket Number	ULI-001

### ENCLOSURES (Check all that apply)

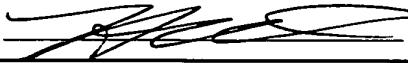
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		Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	LAHIVE & COCKFIELD, LLP		
Signature			
Printed name	Danielle L. Herritt		
Date	October 13, 2005	Reg. No.	43,670

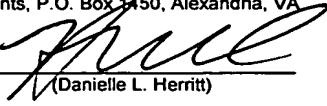
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Dated: October 13, 2005      Signature:   
(Danielle L. Herritt)

Docket No.: ULI-001  
(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Rudolf Faust *et al.*

Application No.: 10/776681

Confirmation No.: 3827

Filed: February 11, 2004

Art Unit: 1713

For: END-CAPPED POLYMER CHAINS AND  
PRODUCTS THEREOF

Examiner: Choi, Ling Siu

### **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.97, Applicant(s) hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of the documents required under 37 CFR 1.98(a)(2) accompany this statement.

Applicant(s) have become aware of the following documents, cited in an International Search Report issued August 3, 2005, during the prosecution of international application no. PCT/US2005/004374, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submit(s) these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08, and a copy of the International Search Report, accompanying Written Opinion, and of each document required under 37 CFR 1.98(a)(2) cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per se* as a representation that

such document is prior art. Moreover, Applicant(s) understand(s) the Examiner will make an independent evaluation of the cited documents.

The undersigned hereby certifies that each item contained in this Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 12-0080, under Order No. ULI-001. A duplicate copy of this paper is enclosed.

Dated: October 13, 2005

GAD/DLH/AJW/mch

Respectfully submitted,

By   
Danielle L. Herritt  
Registration No.: 43,670  
LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, Massachusetts 02109  
(617) 227-7400  
(617) 742-4214 (Fax)  
Attorney/Agent For Applicant



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Substitute for form 1449A/B/PTO				<b>Complete if Known</b>	
				Application Number	10/776681-Conf. #3827
				Filing Date	February 11, 2004
				First Named Inventor	Rudolf FAUST
				Art Unit	1713
				Examiner Name	Choi, Ling Siu
Sheet	1	of	1	Attorney Docket Number	ULI-001

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
B1	WO-97/34938-A1		09-25-1997	BASF Aktiengesellschaft	<input checked="" type="checkbox"/> T <sup>6</sup>

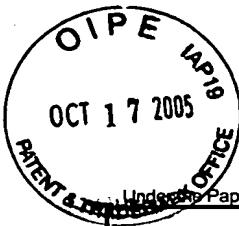
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<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			<input checked="" type="checkbox"/> T <sup>2</sup>
	C1	Feldthusen, Jesper, et al., "Synthetic Approaches Towards New Polymer Systems by the Combination of Living Carbocationic and Anionic Polymerizations," <i>Macromol. Symp.</i> , Vol. 107:189-198 (1996)			
	C2	Domjan, Attila, et al., "Structural Studies of Nanophase-Separated Poly(2-hydroxyethyl methacrylate)- <i>i</i> -polyisobutylene Amphiphilic Conetworks by Solid-State NMR and Small-Angle X-ray Scattering," <i>Macromolecules</i> , Vol. 36:9107-9114 (2003)			
	C3	Bae, Young Cheol, et al., "Halogen-free polyisobutylene by <i>in situ</i> methylation of living polyisobutylene using dimethylzinc," <i>Polymer Bulletin</i> , Vol. 44:453-459 (2000)			

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Examiner Signature	Date Considered
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Application No. (if known): 10/776681

Attorney Docket No.: ULI-001

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